

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: International Business Machines Corporation

Application No./Patent No.: 7,107,416

Filed/Issue Date: September 12, 2006

Entitled: METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO ARCHIVE RECORDS

International Business Machines Corporation  
(Name of Assignee)

Corporation  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:	<u>Lynne D. Anderson</u>	Date:	<u>3-6-07</u>
Name	<u>Lynne D. Anderson</u>	Telephone Number	<u>703-299-1455</u>
Title	<u>Program Manager - U.S. Patent and Trademark Office Liaison</u>		

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

STUART, ALAN L.

DOC DATE: 05/13/2004

## ASSIGNOR:

MAREK, TOBY LYN

DOC DATE: 05/24/2004

## ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES  
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SERIAL NUMBER: 10737367

FILING DATE: 12/15/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO  
ARCHIVE RECORDS

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LAZENA MARTIN, EXAMINER  
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## 1. Name of conveying party(ies): Execution Date

1. Alan L. Sauer 1. May 13, 2004  
2. Toby Lyn Mark 2. May 24, 2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

## 2. Name and address of receiving party(ies):

International Business Machines Corporation  
New Orchard Rd  
Armonk, New York 10504

Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s): 10/737,367, filed December 15, 2003

B. Patent No.(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

David W. Victor  
KONRAD RAYNES & VICTOR, LLP  
315 S. Beverly Drive, Suite 210  
Beverly Hills, CA 90212

## 6. Total number of applications and patents involved: [ 1 ]

## 7. Total fee (37 CFR 1.41): \$ 40

☐ Enclosed  
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## 8. Deposit account number: 00-0486

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

David W. Victor

Name of Person Signing

Signature

May 27, 2004

Date

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**CERTIFICATE UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being transmitted by facsimile to Assignment Recordation Services of the U.S. Patent and Trademark Office at 703-506-9099, or being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box Alexandria, VA 22313-1450 on May 27, 2004.

Yvonne Simpson-Jackson

5/27/04

Date



North Castle Drive  
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**LETTER OF AUTHORITY**

I, Patrick Toole, Vice President, Intellectual Property and Standards of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing, reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, program manager, U.S. Patent and Trademark Office liaison.

Date: March 30, 2006

Patrick Toole  
Vice President, Intellectual Property & Standards  
International Business Machines Corporation